

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **METHODS AND COMPOSITIONS FOR INDUCING AUTOIMMUNITY IN THE TREATMENT OF CANCERS**, the Specification of which:

- ☐ is attached hereto.  
☒ was filed on **December 30, 1998** as Application Serial No. **09/224,558**.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent, United States provisional application(s), or inventor's certificate listed below and have also identified below any foreign application for patent, United States provisional application, or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIORITY APPLICATION(S)			Priority Claimed
60/071,794	USA	December 31, 1997	Yes
(Number)	(Country)	(Date Filed)	Yes/No

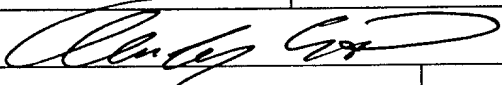
I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56, which become available between the filing date of the prior application and the national or PCT international filing date of this application:

N/A

(Application Serial No.) (Filing Date) (Status)

I hereby direct that all correspondence and telephone calls be addressed to Mark B. Wilson, Arnold White & Durkee, P.O. Box 4433, Houston, Texas 77210, (512) 418-3000.

I HEREBY DECLARE THAT ALL STATEMENTS MADE OF MY OWN KNOWLEDGE ARE TRUE AND THAT ALL STATEMENTS MADE ON INFORMATION AND BELIEF ARE BELIEVED TO BE TRUE; AND FURTHER THAT THESE STATEMENTS WERE MADE WITH THE KNOWLEDGE THAT WILLFUL FALSE STATEMENTS AND THE LIKE SO MADE ARE PUNISHABLE BY FINE OR IMPRISONMENT, OR BOTH, UNDER SECTION 1001 OF TITLE 18 OF THE UNITED STATES CODE AND THAT SUCH WILLFUL FALSE STATEMENTS MAY JEOPARDIZE THE VALIDITY OF THE APPLICATION OR ANY PATENT ISSUED THEREON.

Inventor's Full Name:	Alan	J. -	Schroit
Inventor's Signature:			
Country of Citizenship:	U.S.A	Date:	2/1/99
Residence Address: (street, number, city, state, and/or country)	5130 Grape Houston, Texas 77096		
Post Office Address: (if different from above)			

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ALAN J. SCHROIT

Group Art Unit: 1642

Serial No.: 09/224,558

Examiner: G. NICKOL

Filed: DECEMBER 30, 1998

Atty. Dkt. No.: UTSC:594

For: METHODS AND COMPOSITIONS FOR  
INDUCING AUTOIMMUNITY IN THE  
TREATMENT OF CANCERS

**REVOCATION AND SUBSTITUTE POWER OF ATTORNEY**  
**PURSUANT TO 37 C.F.R. §§ 3.71 and 3.73(b)**  
**AND CHANGE OF CORRESPONDENCE ADDRESS**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned, being Assignee of record of the entire interest in the above-identified application by virtue of an assignment recorded in the United States Patent and Trademark Office as set forth on the attached Power of Attorney, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventors.

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date below: ,

Date \_\_\_\_\_

Steven L. Highlander

**REVOCATION AND SUBSTITUTE POWER OF ATTORNEY**  
**PURSUANT TO 37 C.F.R. §§ 3.71 and 3.73(b)**  
**AND CHANGE OF CORRESPONDENCE ADDRESS**

Sir:

I, Cullen M. Godfrey, Vice Chancellor and General Counsel, certify that the BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM, is the assignee of the entire interest in each of the below-referenced U.S. patent applications. Documentary evidence of chain of title from the original owner to the BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM, has been filed with and recorded by the United States Patent and Trademark Office at each of the below-referenced Reel and Frame numbers.

The evidentiary documents referred to in the instant Revocation and Power of Attorney have been reviewed by the undersigned; and it is certified that, to the best of the knowledge and belief of the BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM, title is in the BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM.

Alias	Serial No. or Patent No.	Filing Date or Issue Date	Recordation Date	Reel/Frame
UTSC:019	4,663,167	05/05/87	04/16/84	004249/0731
UTSC:041	4,816,570	03/28/89	04/04/86	004537/0328
UTSC:044	5,213,970	05/25/93	04/13/87	006166/0524

Alias	Serial No. or Patent No.	Filing Date or Issue Date	Recordation Date	Reel/Frame
UTSC:048	4,812,312	03/14/89	05/01/87	004706/0725
UTSC:050	5,041,581	08/20/91	05/08/95	007476/0484
UTSC:052	4,978,654	12/18/90	01/12/87	004658/0356
UTSC:053	4,863,739	09/05/89	07/23/87	004769/0001
UTSC:056	4,981,690	01/01/91	01/04/88	004876/0393
UTSC:061	4,950,432	08/21/90	10/16/87	004800/0707
UTSC:069	4,927,502	05/22/90	04/03/89	005081/0451
UTSC:072	5,024,934	06/18/91	07/25/88	004926/0939
UTSC:076	4,999,290	03/12/91	05/27/88	004939/0418
UTSC:089	5,117,022	05/26/92	05/08/95	007476/0481
UTSC:092	4,928,830	05/29/90	12/19/88	004996/0991
UTSC:099	5,041,578	08/20/91	11/22/88	005029/0203
UTSC:105	5,221,612	06/22/93	04/17/89	005064/0710
UTSC:112	4,968,788	11/06/90	01/23/89	005028/0251
UTSC:116	5,087,617	02/11/92	03/31/89	005059/0268
UTSC:127	5,055,459	10/08/91	06/20/89	005120/0264
UTSC:189	5,219,548	06/15/93	01/11/91	005566/0209
UTSC:190	5,178,875	01/12/93	06/16/92	006155/0803
UTSC:199	5,262,403	11/16/93	09/24/90	005466/0166
UTSC:202	5,286,739	02/15/94	12/02/91	005931/0190
UTSC:216	5,434,076	07/18/95	11/14/94	007209/0717
UTSC:228	5,186,940	02/16/93	10/07/86	004616/0689
UTSC:229	5,178,876	01/12/93	10/07/86	004616/0689
UTSC:231	5,324,275	06/28/94	10/02/92	006272/0966

Alias	Serial No. or Patent No.	Filing Date or Issue Date	Recordation Date	Reel/Frame
UTSC:234	5,128,319	07/07/92	12/06/91	005935/0123
UTSC:236	5,451,789	09/19/95	09/23/93	006696/0988
UTSC:242	6,210,873	04/03/01	01/21/92	005985/0781
UTSC:254	5,353,790	10/11/94	07/20/92	006217/0694
UTSC:256	5,651,964	07/29/97	06/04/93	006733/0834
UTSC:257	5,248,671	09/28/93	03/31/89	005059/0268
UTSC:264	5,319,204	06/07/94	07/13/92	006193/0340
UTSC:265	5,296,466	03/22/94	12/13/93	006796/0308
UTSC:267	07/834,923	02/13/92	12/06/91	005935/0123
UTSC:269	5,187,266	02/16/93	09/08/86	004643/0325
UTSC:275—X	B1 5,362,754	Re-exam Cert 12/21/99	02/04/93	006409/0960
UTSC:281	5,359,031	10/25/94	04/17/89	005064/0710
UTSC:289	5,334,380	08/02/94	09/21/92	006270/0542
UTSC:290	5,538,869	07/23/96	08/19/93	006650/0910
UTSC:297	5,384,127	01/24/95	12/29/92	006386/0248
UTSC:298--1	5,910,309	06/08/99	05/09/96	007931/0280
UTSC:299	5,302,389	04/12/94	10/21/92	006310/0575
UTSC:305	07/945,865	09/16/92	10/26/92	006371/0957
UTSC:308	5,318,962	06/07/94	01/04/93	006368/0921
UTSC:324	5,480,866	01/02/96	07/14/94	007050/0836
UTSC:331	5,603,933	02/18/97	11/04/93	006756/0449
UTSC:335	5,310,653	05/10/94	10/24/89	005167/0602
UTSC:340	5,411,868	05/02/95	01/02/90	005218/0234

Alias	Serial No. or Patent No.	Filing Date or Issue Date	Recordation Date	Reel/Frame
UTSC:345	4,983,397	01/08/91	07/10/87	004736/0321
UTSC:346	5,417,978	05/23/95	11/03/93	006795/0267
UTSC:358	5,409,467	04/25/95	12/28/93	006837/0360
UTSC:360	5,728,377	03/17/98	01/05/94	006816/0996
UTSC:364	5,641,484	06/24/97	03/14/94	006929/0498
UTSC:369	5,393,909	02/28/95	11/19/92	006358/0083
UTSC:374—1	5,696,081	12/09/97	03/05/96	007984/0901
UTSC:380	5,674,836	10/07/97	12/13/93	006796/0308
UTSC:380—1	5,900,403	05/04/99	12/13/93	006796/0308
UTSC:380—3	6,103,690	08/15/00	12/13/93	006796/0308
UTSC:390—1	08/403,459	03/14/95	07/10/95	007543/0875
UTSC:392—1	5,830,498	11/03/98	07/31/98	009369/0955
UTSC:392—2	5,965,158	10/12/99	07/31/98	009369/0955
UTSC:394	5,681,846	10/28/97	05/08/95	007481/0008
UTSC:399	5,773,215	06/30/98	03/17/95	007605/0760
UTSC:401	5,453,623	09/26/95	08/26/94	007107/0698
UTSC:410	5,766,899	06/16/98	05/12/95	007488/0539
UTSC:414	5,902,604	05/11/99	10/30/95	007760/0723
UTSC:416	5,643,567	07/01/97	09/19/94	007143/0066
UTSC:421	6,107,457	08/22/00	08/07/95	007581/0608
UTSC:424—1	5,811,119	09/22/98	06/16/92	006155/0805
UTSC:424—2	6,200,597	03/13/01	06/16/92	006155/0805
UTSC:427—X	90/005,267	Re-exam 02/19/99	08/02/99	010133/0052

Alias	Serial No. or Patent No.	Filing Date or Issue Date	Recordation Date	Reel/Frame
UTSC:431	5,434,256	07/18/95	11/07/94	007194/0256
UTSC:433	5,855,911	01/05/99	01/04/96	007990/0919
UTSC:433—1	6,042,846	03/28/00	01/04/96	007990/0919
UTSC:440	5,653,974	08/05/97	03/09/95	007374/0584
UTSC:468	5,814,315	09/29/98	09/19/94	007143/0066
UTSC:484	08/809,021	03/19/97	03/19/97	009434/0716
UTSC:500	5,922,688	07/13/99	04/08/97	008451/0485
UTSC:500—1	6,172,212	01/09/01	04/08/97	008451/0485
UTSC:503—1	08/730,910	10/18/96	03/06/97	008385/0538
UTSC:509	5,843,475	12/01/98	04/14/97	008476/0847
UTSC:516	08/974,304	11/19/97	08/21/98	009392/0059
UTSC:525	09/086,663	05/29/98	09/01/98	009440/0405
UTSC:531	09/074,274	05/07/98	07/13/98	009317/0284
UTSC:538	08/869,386	06/05/97	10/26/92	006371/0957
UTSC:556	6,197,754	03/06/01	08/17/98	009404/0208
UTSC:567	5,977,327	11/02/99	03/11/98	009031/0504
UTSC:582	09/116,049	07/15/98	09/22/98	009510/0759
UTSC:584USC2	09/122,427	07/24/98	10/30/95	007760/0723
UTSC:586	09/162,631	09/28/98	03/22/99	009834/0479
UTSC:587	09/168,799	10/08/98	04/14/97	008476/0847
UTSC:593	09/258,854	02/26/99	05/03/99	009947/0164
UTSC:594	09/224,558	12/30/98	03/30/99	009858/0611
UTSC:609	09/378,874	08/23/99	01/04/99	010357/0733
UTSC:634	5,487,394	01/30/96	09/15/94	007145/0478



Alias	Serial No. or Patent No.	Filing Date or Issue Date	Recordation Date	Reel/Frame
UTSC:635	5,555,283	09/10/96	08/25/95	007614/0570
UTSC:636	5,686,061	11/11/97	04/11/94	006999/0155
UTSC:637	09/431,547	10/29/99	03/27/00	010727/0553
UTXC:462	5,825,031	10/20/98	02/10/97	008339/0633
UTXC:474	5,858,192	01/12/99	01/27/97	008325/0939
UTXC:475	5,993,630	11/30/99	05/09/96	007932/0701
UTXC:476	5,888,370	03/30/99	05/09/96	007932/0719
UTXC:483	08/679,437	07/08/96	10/16/96	008373/0887
UTXC:488	09/101,509	06/21/99	06/24/99	010039/0566
UTXC:492	5,968,737	10/19/99	05/22/97	008536/0812
UTXC:495	6,165,484	12/26/00	11/09/98	009574/0700
UTXC:495USC1	09/680,061	10/04/00	11/09/98	009574/0700
UTXC:504	08/726,211	10/04/96	01/30/97	008323/0609
UTXC:505	6,100,254	08/08/00	04/20/98	009140/0816
UTXC:541	09/139,521	11/10/98	11/10/98	009574/0565
UTXC:557	09/008,380	01/16/98	05/22/97	008536/0812
UTXC:561	09/440,772	11/16/99	03/13/00	010700/0470
UTXC:569	09/249,955	02/12/99	05/17/99	009962/0739
UTXC:585	09/271,013	03/17/99	05/21/99	009973/0108
UTXC:591	09/432,190	11/02/99	03/27/00	010714/0285
UTXC:601	09/244,370	02/04/99	07/26/99	010112/0606
UTXC:603	5,993,632	11/30/99	05/09/96	007932/0719
UTXC:603—1	09/395,890	09/14/99	05/09/96	007932/0719
UTXC:627	09/434,313	10/25/99	03/20/00	010700/0334

Alias	Serial No. or Patent No.	Filing Date or Issue Date	Recordation Date	Reel/Frame
UTXC:642	09/449,170	11/24/99	05/08/00	010798/0180

I hereby revoke all power of attorney heretofore given and hereby appoint:

Louis T. Pirkey, Reg. No. 22,393; Richard J. Groos, Reg. No. 32,231; David L. Parker, Reg. No. 32,165; William G. Barber, Reg. No. 33,154; David D. Bahler, Reg. No. 30,932; Stephen D. Dellett, Reg. No. 32,564; Michael S. Metteauer, Reg. No. 34,875; John J. Bruckner, Reg. No. 35,816; Mark B. Wilson, Reg. No. 37,259; Steven L. Highlander, Reg. No. 37,642; Erik R. Nordstrom, Reg. No. 39,792; Teresa J. Schultz, Reg. No. 40,526; Stephen P. Meleen, Reg. No. 40,724; Robert E. Hanson, Reg. No. 42,628; Michael C. Barrett, Reg. No. 44,523; Mark T. Garrett, Reg. No. 44,699; Gina N. Shishima, Reg. No. 45,104; and Matthew Bellinger, Reg. No. 46,547;

as the principal attorneys or agents, with full power of substitution, association, and revocation to prosecute said applications, to transact all business in the Patent and Trademark Office connected therewith, and to receive the letters patent therefor.

Please direct all future communications regarding these applications as follows:

David L. Parker  
FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Avenue, Suite 2400  
Austin, Texas 78701  
512-536-3184

ASSIGNEE:

BOARD OF REGENTS, THE UNIVERSITY  
OF TEXAS SYSTEM

By: 

Name: Cullen M. Godfrey  
Title: Vice Chancellor and General  
Counsel

Date: May 25, 2001